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July 23, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Application No. 09/943,189

Title: Heater Tube Inventor: David Morris Filing Date: 08/30/2001

Examiner: John David Wiggins

Art Unit: 2856

Dear Sir or Madam:

Enclosed for filing please find:

- 1. Transmittal form;
- Fee transmittal form (in duplicate); 2.
- Check for \$235.00; -3.
- Request for Extension of Time; 4.
- Response to Office Action; 5.
- Exhibits A-C to Office Action; 6.
- 7. Information disclosure statement;
- 8. PTO/SB/08A IDS form;
- 9. Copy of ten (10) prior art references; and
- 10. Self addressed postcard.

Respectfully submitted,

Eric W. Cernyar

Enclosures

| Under the Paperwork Reduction Act of 1995. TRANSMITTAL FORM (to be used for all correspondence after initial to the correspondence after | Application Number Filing Date First Named Inventor | PTO/SB/21 (03-03) Approved for use through 04/30/2003. OMB 0651-0031 nt and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number. 09/943,189 08/30/2001 David Morris 2856 John David Wiggins P-7764.006 |
|--|--|--|
| Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | Petition Petition Petition Change of Correspondence Address Request for Refund CD, Number of CD(s) Remarks Proving(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) | After Allowance Communication to a Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information |
| Firm or Individual Signature Date SIGNAT Eric W. Cernyar, P.C., Reg 07-23-2003 | URE OF APPLICANT, ATTORNI No. 45,919 Any a | EY, OR AGENT |
| | ERTIFICATE OF TRANSMISSION simile transmitted to the USPTO or deposited with sistence for Patents, Washington, 90 20201 on the property of the | ith the United States Postal Service with a finish a service |

Signature

Date 07-23-2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

JUL 2 5 2003

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to resp

TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| SEPTIME TO A CONCONDITION WITH | Attriation diffess it displays a valid Olylo Control number. | | | |
|--------------------------------|--|--|--|--|
| Complete if Known | | | | |
| Application Number | 09/943,189 | | | |
| Filing Date | 08/30/2001 | | | |
| First Named Inventor | David Morris | | | |
| Examiner Name | John David Wiggins | | | |
| Group Art Unit | 2856 | | | |
| Attorney Docket No. | P-7764.006 | | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | |
|---|--|--------------------|--|--|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any every averagements to: | 3. ADDITIONAL FEES | | | | |
| Deposit Large Small | | | | | |
| Account Number 50-0808 | Entity Entity Fee Fee Fee Fee Fee Description | Fee Paid | | | |
| Deposit Gunn Loo & Honor | Code (\$) Code (\$) | ree raiu | | | |
| Account Name Gunn, Lee & Hanor | 105 130 205 65 Surcharge - late filing fee or oath | | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | | | | |
| See 37 CFR 1.27 2. Payment Enclosed: | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | | |
| 2. ☑ Payment Enclosed: ☑ Check ☐ Credit card ☐ Money ☐ Other | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | | |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | 55.00 | | | |
| Large Entity Small Entity | 116 400 216 200 Extension for reply within second month | | | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 117 920 217 460 Extension for reply within third month | | | | |
| 101 740 201 370 Utility filing fee | 118 1,440 218 720 Extension for reply within fourth month 2 128 1,960 228 980 Extension for reply within fifth month 3 | | | | |
| 106 330 206 165 Design filing fee | 128 1,960 228 980 Extension for reply within fifth month | JUL EC | | | |
| 107 510 207 255 Plant filing fee | 119 320 219 160 Notice of Appeal | ω \dot{r} | | | |
| 4 108 740 208 370 Reissue filing fee | 120 320 220 160 Filing a brief in support of an appeal | | | | |
| 114 160 214 80 Provisional filing fee | 121 280 221 140 Request for oral hearing | | | | |
| SUBTOTAL (1) (\$) | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | | |
| 2. EXTRA CLAIM FEES | 140 110 240 55 Petition to revive - unavoidable | | | | |
| Fee from | 141 1,280 241 640 Petition to revive - unintentional | | | | |
| Total Claims | 142 1,280 242 640 Utility issue fee (or reissue) | | | | |
| Independent - 3** = X = = | 144 620 244 310 Plant issue fee | | | | |
| Multiple Dependent | 122 130 122 130 Petitions to the Commissioner | | | | |
| | | | | | |
| Large Entity Small Entity | 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 126 180 Submission of Information Disclosure Stmt | 180.00 | | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | | 100.00 | | | |
| 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | | | | |
| 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | | | | |
| 109 84 209 42 ** Reissue independent claims over original patent | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 740 279 370 Request for Continued Examination (RCE) | | | | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | | |
| SUBTOTAL (2) (\$) | Other fee (specify) | | | | |
| **or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 235.00 | | | | | |

| SUBMITTED BY | | | | | Complete (if applicable) | |
|-------------------|------------------|---------|---|-----------|--------------------------|--|
| Name (Print/Type) | Eric VV. Cernyar | 1 | Registration No. (Attorney/Agent) 45919 | Telephone | (210) 863-0063 | |
| Signature | Era W. | Comeran | | Date | 07/23/2003 | |

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